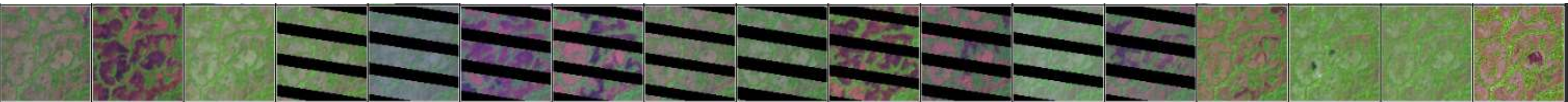


## Global Forest Biodiversity Initiative Symposium 2017

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Assessment of forest degradation, regeneration, deforestation and afforestation by combining high resolution satellite time series and plot based inventory data

Heinz Gallaun



# Assessment of forest degradation, regeneration, deforestation and afforestation by combining high resolution satellite time series and plot based inventory data

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## Structure of the presentation:

- Background
- Demonstration site
- Sampling design
- Response design
- Analysis
- Conclusions & recommendations

## Background



### Global Land Hot Spot Monitoring Service

- To provide detailed land information on specific areas of interest for the EU outside of its territory
- For such areas of interest, including protected areas or hot spots for biodiversity and land degradation, land cover and land cover change products are provided
- Initial focus is on the African continent

## Background



### Global Land Hot Spot Monitoring Service

- Coordination: Joint Research Centre, European Commission – JRC
- Production/mapping: e-GEOS, Telespazio Iberica, ITHACA, CNR-IREA, ISPRA, Paola Codipietro, EXELIS
- Validation: IGN-FI, JOANNEUM RESEARCH, Luxspace, space4environment, EOXPLORE, GISBOX, ONFI

## Demonstration site

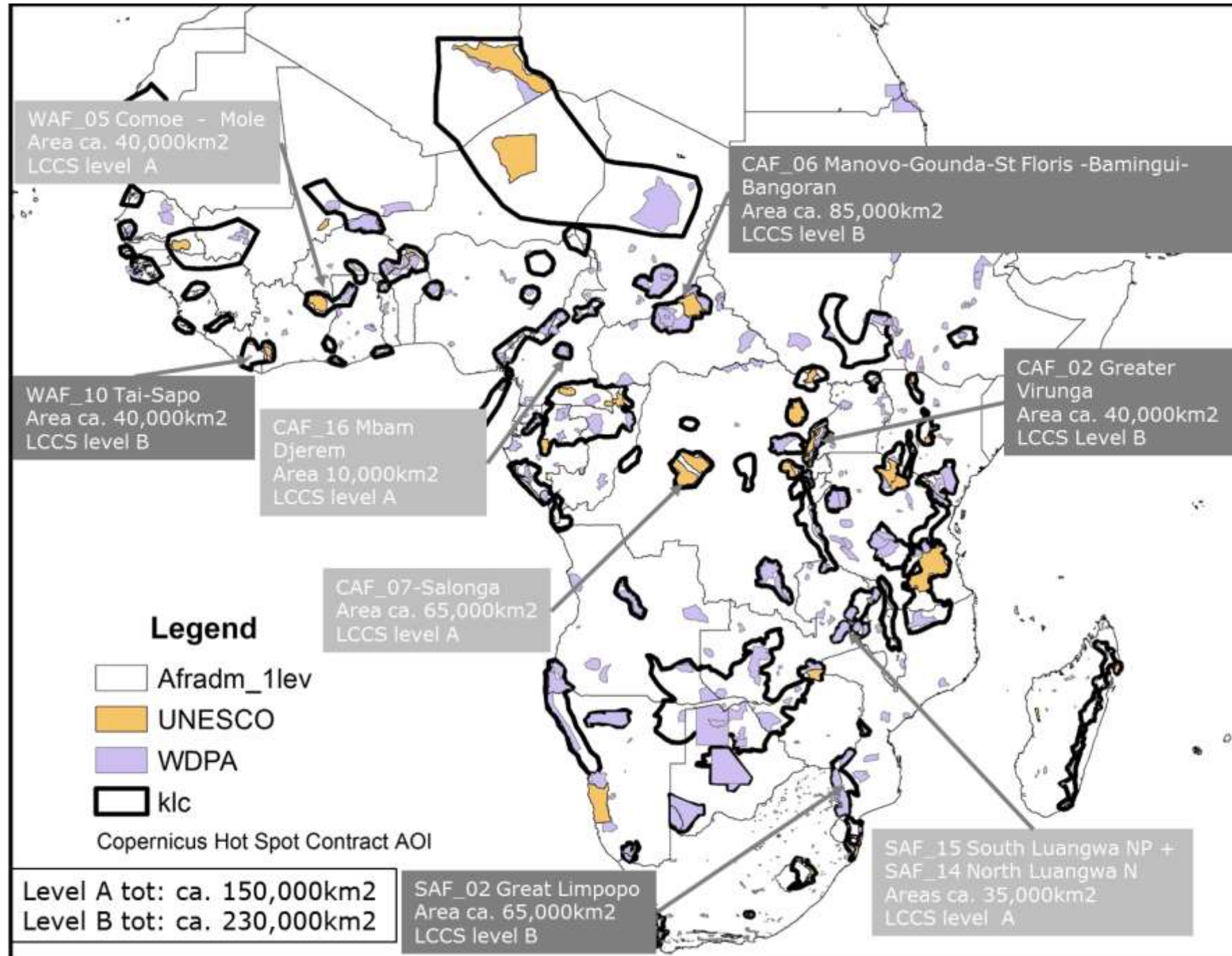
### Global Land Hot Spot Monitoring Service

First implementation year:

■ 8 sites in Africa

For all sites:

■ Land Cover & Land Cover Changes  
2000/2015



# Nomenclature

LCCS-2 aggregated to:

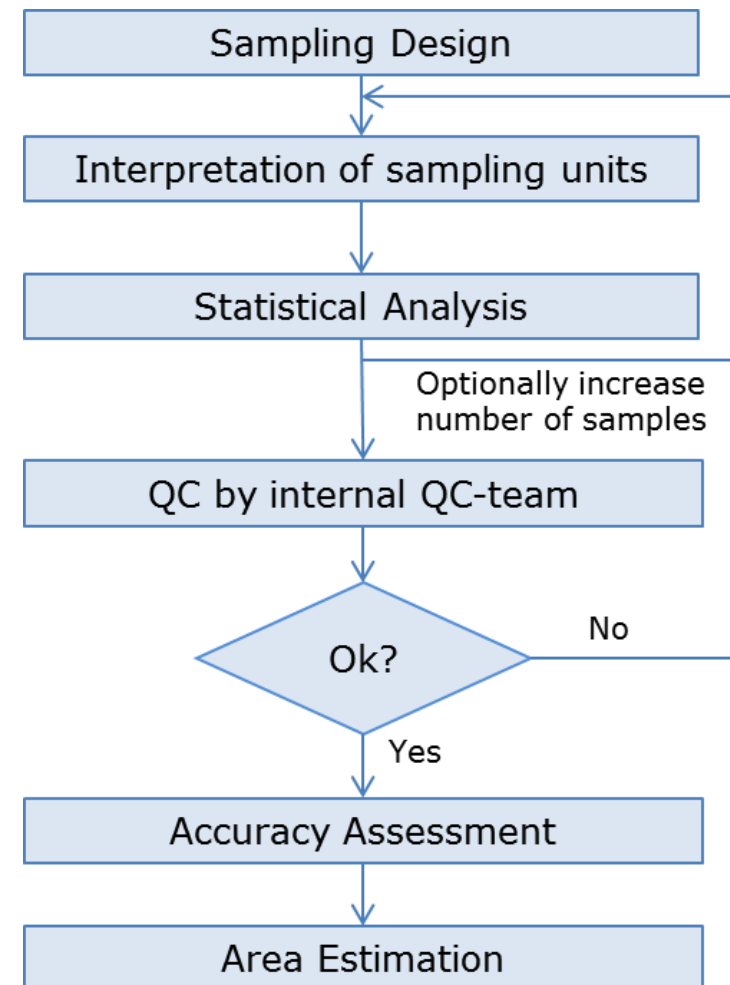
- Unchanged forest
- Afforestation
- Deforestation
- Forest degradation
- Forest regeneration
- Other classes



DGROUP	LCCLabel
A11 - Cultivated and managed terrestrial areas	Continuous Large To Medium Sized Field(s) (>2 ha) Of Tree Crop(s) Crop Cover: Plantation(s)
	Continuous Small Sized Field(s) (<2 ha) Of Tree Crop(s) Crop Cover: Plantation(s)
	Continuous Large To Medium Sized Field(s) (>2 ha) Of Tree Crop(s) Crop Cover: Orchard(s)
	Continuous Small Sized Field(s) (<2 ha) Of Tree Crop(s) Crop Cover: Orchard(s)
	Continuous Large To Medium Sized Field(s) (>2 ha) Of Shrub Crop(s)
	Continuous Small Sized Field(s) (<2 ha) Of Shrub Crop(s)
	Continuous Large To Medium Sized Field(s) (>2 ha) Of Herbaceous Crop(s)
	Continuous Small Sized Field(s) (<2 ha) Of Herbaceous Crop(s)
A12 - Natural or semi-natural terrestrial vegetation	Continuous Closed (>70-60)% Trees
	Continuous Open (70-60)-(20-10)% Trees
	Continuous Closed to Open (100-40)% Shrubs
	Continuous Open (40 - (20-10)%) Shrubs
	Continuous Closed to Open (100-40)% Herbaceous Vegetation
	Continuous Open (40 - (20-10)%) Herbaceous Vegetation
B15 - Artificial surfaces and associates area(s)	Built Up Area(s)
	Non Built Up Area(s)
A23 - Cultivated aquatic or regularly flooded areas	Continuous Large To Medium Sized Field(s) (>2 ha) Of Woody Crops - Aquatic Or Regularly Flooded
	Continuous Small Sized Field(s) (<2 ha) Of Woody Crops - Aquatic Or Regularly Flooded
	Continuous Large To Medium Sized Field(s) (>2 ha) Of Graminoid Crops - Aquatic Or Regularly Flooded
	Continuous Small Sized Field(s) (<2 ha) Of Graminoid Crops - Aquatic Or Regularly Flooded
A24 - Natural or semi-natural aquatic vegetation	Closed (>70-60)% Trees - Aquatic vegetation
	Open General (70-60)-(20-10)% Trees - Aquatic vegetation
	Closed to Open (100-40)% Shrubs - Aquatic vegetation
	Very Open (40 - (20-10)%) Shrubs - Aquatic vegetation
	Closed to Open (100-40)% Herbaceous Vegetation - Aquatic vegetation
	Very Open (40 - (20-10)%) Herbaceous Vegetation - Aquatic vegetation
B27 - Artificial water bodies, snow and ice	Artificial Waterbodies (Flowing)
	Artificial Waterbodies (Standing)
B28 - Natural water bodies, snow and ice	Natural Waterbodies (Flowing)
	Natural Waterbodies (Standing)
	Snow
	Ice

# Assessment of forest degradation, regeneration, deforestation and afforestation by combining high resolution satellite time series and plot based inventory data

## General Workflow:



# Sampling Design

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Stratified random sampling:

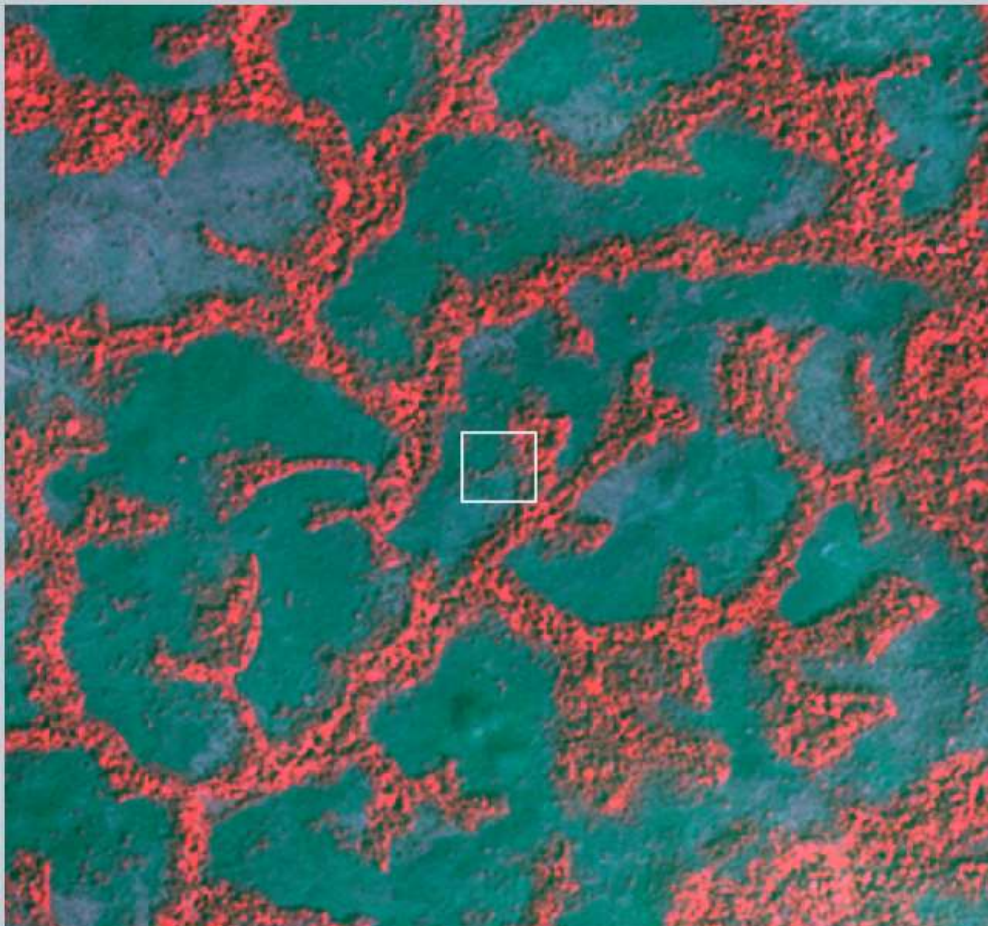
- LC & LCC maps are used as strata
  - Step 1 : optimisation regarding error of commission (minimum number of samples per category, based on estimated category accuracies)
  - Step 2: optimisation for area estimation & estimation of overall accuracy by applying Nyman optimal allocation
- Result: 4401 sampling units

## Response Design

The response design encompasses the procedures for assigning the class label to each sampling unit

Reference data (for all 8 sites):	VHR:	several hundred SPOT6, 7 scenes google/bing/ESRI basemap
	HR:	6000 Landsat 5,7,8 and ASTER scenes 2000 Sentinel2-Granules (L2A processed with sen2cor)
	DSM:	ALOS3DW30 gap-filled with SRTM-1arcsec
	Ancillary:	OSFACO-Project, JRC global water explorer

Web-based interpretation tool:	<ul style="list-style-type: none"><li>- View and annotate different data in a web-browser</li><li>- Time series: trajectory and matrix view</li><li>- Multi-user interface</li><li>- PostgreSQL database with PostGIS extensions</li></ul>
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Show Bing   Copy coords to clipboard   TS\_Trajectory   TS\_542\_3000\_Matrix   TS\_CIR\_3000\_Matrix

1783 (ica\_caf\_16)



Google

Reset

		2015	2000
VEG	Terrestrial		
	Cultivated and Managed Areas	<input type="radio"/>	<input type="radio"/>
	Natural & Semi-Natural	<input type="radio"/>	<input type="radio"/>
Aq./flooded	Acquatic agriculture	<input type="radio"/>	<input type="radio"/>
	Natural & Semi-Natural Aquatic	<input type="radio"/>	<input type="radio"/>
	NO-VEG	Terrestrial	
	Artificial Surfaces & Associated Areas(s)	<input type="radio"/>	<input type="radio"/>
	Bare Area(s)	<input type="radio"/>	<input type="radio"/>
	Aq./flooded	Artificial Waterbodies, Snow and Ice	<input type="radio"/>
	Natural Waterbodies, Snow and Ice	<input type="radio"/>	<input type="radio"/>
Unknown		<input type="radio"/>	<input type="radio"/>

Select reliability

Low

Medium

High

NONE SELECTED

GEOM ERR

NONE

Save and Proceed

Save and Goto Overview

Don't save and goto next

Cancel

basemap\_3ha\_3000

basemap\_class\_3000

cir\_s2\_2015351\_3000

cir\_s2\_2015360\_3000

cir\_s2\_2016235\_3000

cir\_s2\_2016275\_3000

cir\_s2\_2016285\_3000

cir\_s2\_2016305\_3000

cir\_s2\_2016315\_3000

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cir\_spot\_2000\_19991119\_3000

cir\_spot\_2000\_19991215\_3000

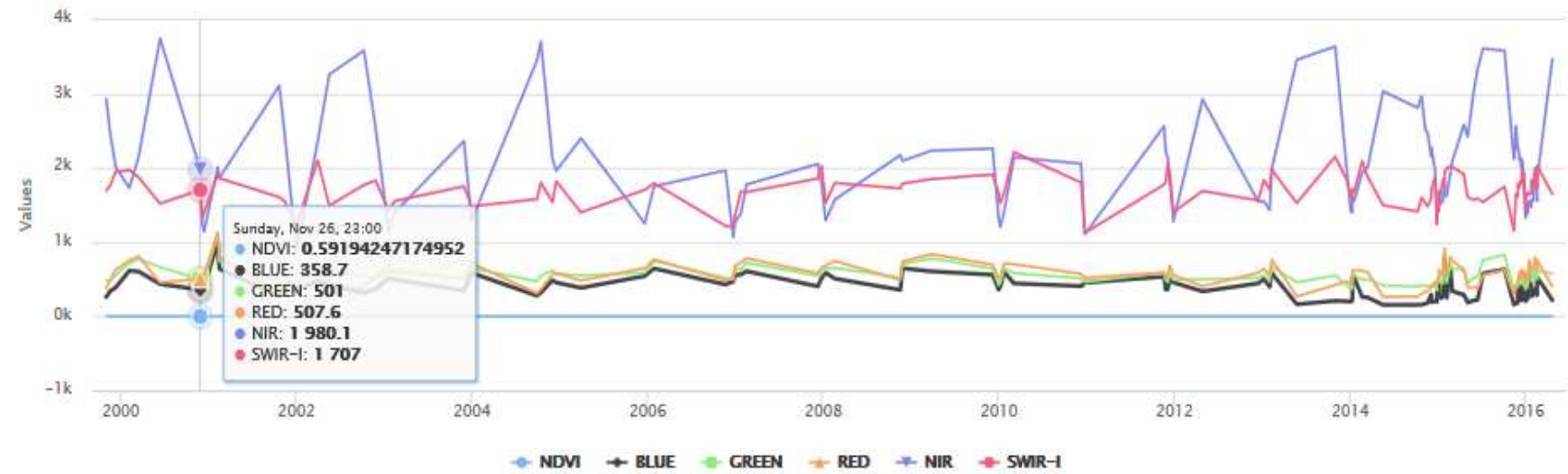
cir\_spot\_20140104\_3000

pan\_spot\_20140104\_3000

# Response Design - Temporal Trajectory view

☒ Jan ☒ Feb ☒ Mar ☒ Apr ☒ May ☒ Jun ☒ Jul ☒ Aug ☒ Sep ☒ Oct ☒ Nov ☒ Dec

Timeseries



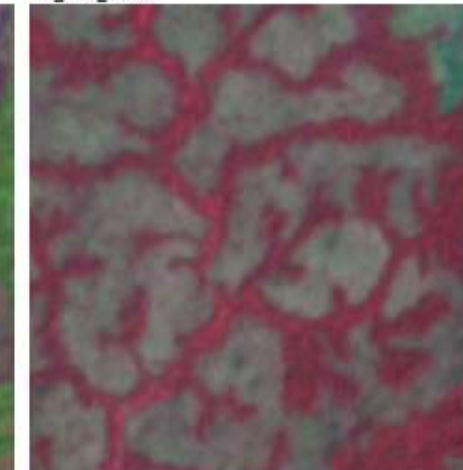
Highcharts.com

TS\_542\_3000



2000\_331\_LS7.png

TS\_CIR\_3000

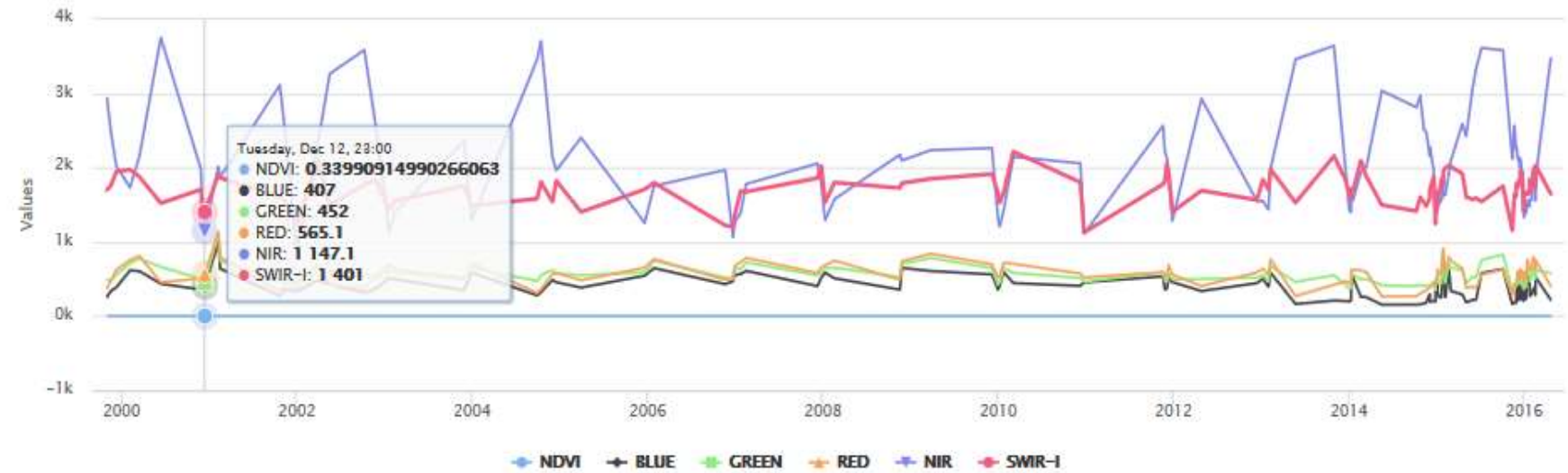


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# Response Design - Temporal Trajectory view

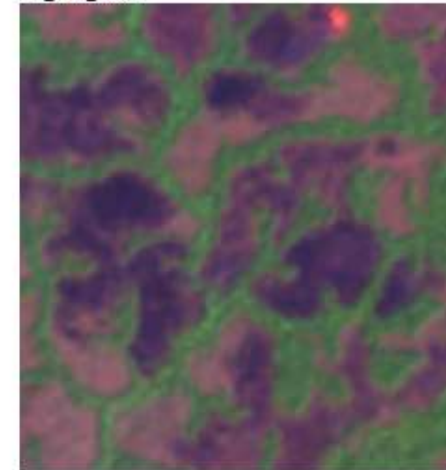
☒ Jan ☒ Feb ☒ Mar ☒ Apr ☒ May ☒ Jun ☒ Jul ☒ Aug ☒ Sep ☒ Oct ☒ Nov ☒ Dec

Timeseries



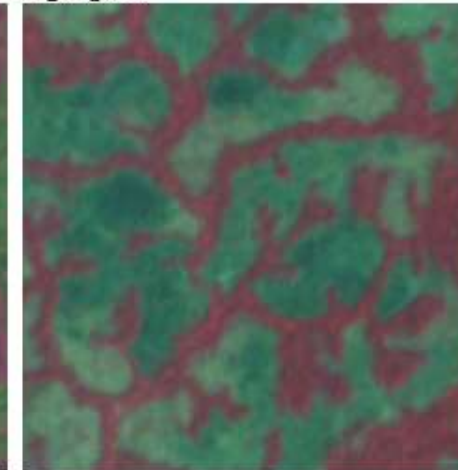
Highcharts.com

TS\_542\_3000


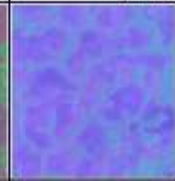
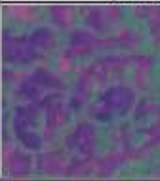







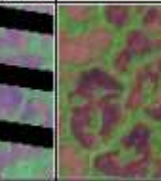
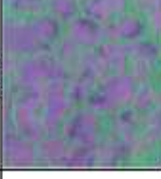
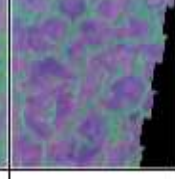
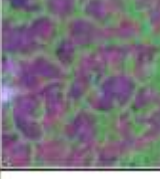


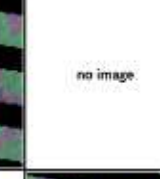

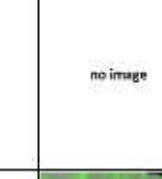

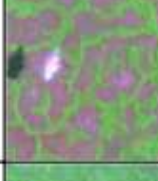


















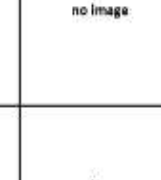

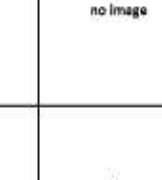


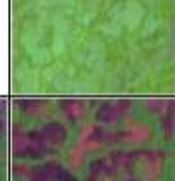

















2000\_347\_LS7.png

TS\_CIR\_3000



2000\_347\_LS7.png

	year: 2000	year: 2001	year: 2002	year: 2003	year: 2004	year: 2005	year: 2006	year: 2007	year: 2008	year: 2009	year: 2010	year: 2011	year: 2012	year: 2013	year: 2014	year: 2015	year: 2016
until day: 40			no image		no image	no image				no image		no image	no image				
until day: 80			no image		no image	no image	no image			no image		no image	no image	no image			
until day: 120	no image	no image		no image	no image		no image	no image	no image		no image	no image		no image	no image		
until day: 160	no image	no image		no image	no image	no image	no image	no image	no image	no image	no image	no image	no image				no image
until day: 200		no image	no image	no image	no image	no image	no image	no image	no image	no image	no image	no image	no image	no image	no image		no image
until day: 240	no image	no image	no image	no image	no image	no image	no image	no image	no image	no image	no image	no image	no image	no image	no image	no image	
until day: 280	no image	no image	no image	no image		no image	no image	no image	no image	no image	no image	no image	no image	no image	no image		
until day: 320	no image			no image		no image	no image	no image	no image	no image	no image	no image	no image				
until day: 360																	

# Analysis

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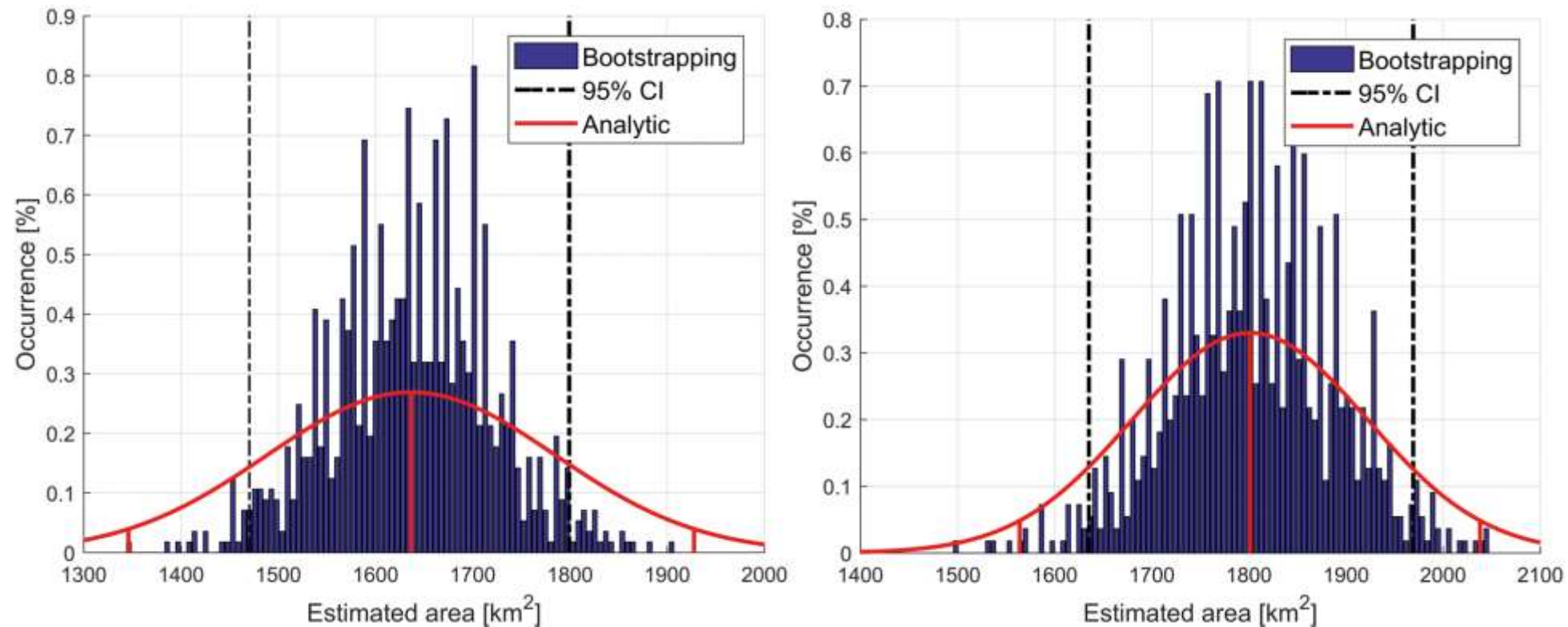
Assessments based on inclusion probabilities:

- Overall accuracy
- Error of omission per LC & LCC category
- Error of commission per LC & LCC category
- Area estimation for LC & LCC categories

Confidence intervals at 95% Level (as required for international reporting) derived:

- by analytical formulas (Formulas see e.g. Olofsson et al. 2014 or Cochran 1977)
- by bootstrapping

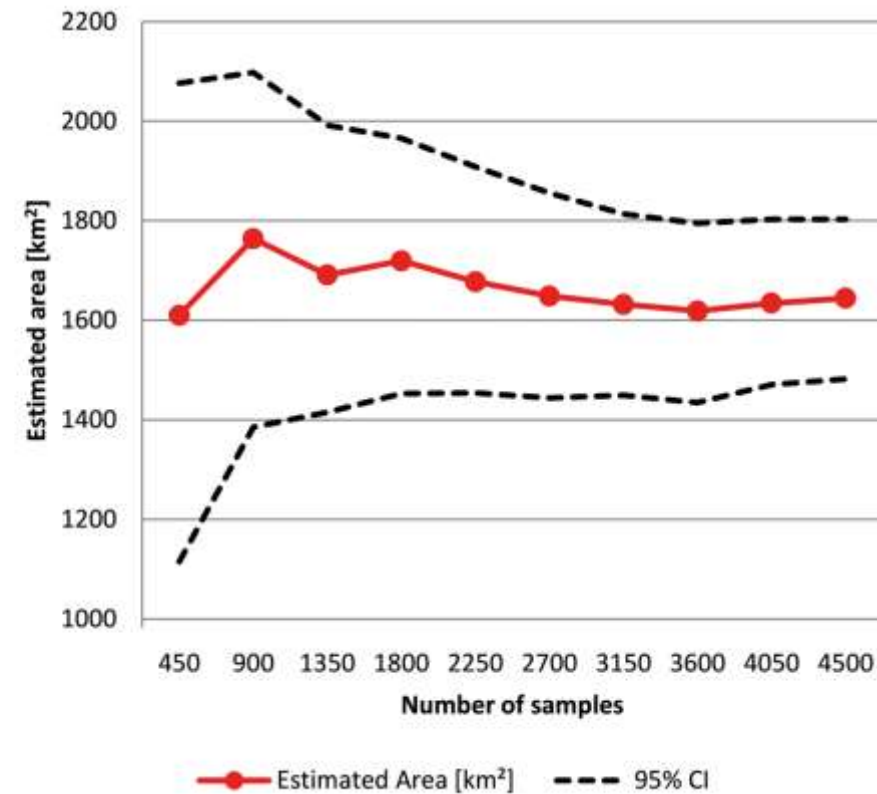
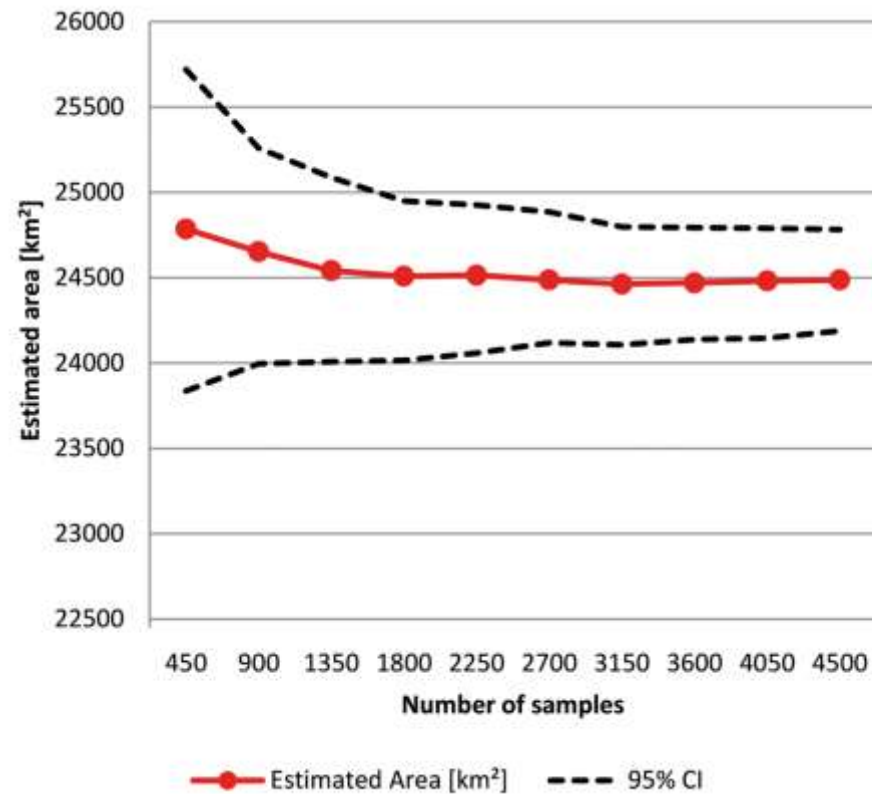
# Analysis



Histograms of 1000 bootstrap replications for the area estimation, overlaid with analytic density curves in red.

Left site for afforestation, right site for deforestation

# Analysis



Simulation of different sampling intensities with total number of plots ranging from 440 to 4400

Left site for unchanged forest, right site for afforestation

# Analysis

Area estimation results including confidence intervals in km<sup>2</sup>

Category	Strata area	Number of samples	Analytically derived estimates			Bootstrapping results		
			Estimated area	Lower bound	Upper bound	Estimated area	Lower bound	Upper bound
Afforestation	7	168	1637	1346	1928	1644	1482	1803
Deforestation	552	418	1801	1564	2038	1804	1647	1966
Forest degradation	75	76	467	317	617	462	363	571
Forest regeneration	0	50	579	402	756	581	502	656
Other class	9278	1901	11009	10547	11471	11007	10734	11267
Unchanged forest	30072	1788	24491	23921	25061	24486	24188	24782

## Conclusions & recommendations

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Error rates of remote sensing wall-to-wall monitoring of forest changes are often in the order of change rates

- in such (typical) case RS monitoring should be complemented with sampling approach
- a consistent approach is recommended where the sampling data are used for accuracy assessment and for estimation of areas and for deriving confidence intervals
- in general stratified random sampling with LC & LCC maps as strata is recommended

There are large differences in confidence intervals derived by analytic solutions and by bootstrapping

- As bootstrapping can handle correlations, large uncertainties and complex probability density functions, we recommend to apply bootstrapping

To allow accurate interpretation of VHR data, Sentinel2 time series, historic Landsat & ASTER scenes:

- a specific interpretation tool is required

## Global Forest Biodiversity Initiative Symposium 2017

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